PATENT 88528.0009 Express Mail Label No. EV 547 773 987 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit:

Not Assigned

Yoji UTSUMI and Masahiro ITO

Examiner:

Not Assigned

Serial No:

Not Assigned

Filed: July 13, 2004

10 0001

For:

ENGINE FASTENING STRUCTURE

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sirs:

| months of the filing date of the application other than a corapplication, or within three months of the date of entry into international application, or before the mailing date of a fir merits, or before the mailing of a first Office action after the continued examination under §1.114, whichever event occu §1.97(b). | s being filed within three |
|---|----------------------------|
| international application, or before the mailing date of a fir<br>merits, or before the mailing of a first Office action after the<br>continued examination under §1.114, whichever event occu  | tinued prosecution         |
| merits, or before the mailing of a first Office action after the continued examination under §1.114, whichever event occu   | the national stage of an   |
| continued examination under §1.114, whichever event occu  | st Office Action on the    |
| continued examination under §1.114, whichever event occu  | e filing of a request for  |
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| 32.0.(0).   |                            |
|   |                            |

| 2. | The information disclosure statement transmitted herewith is being filed <i>after</i> the period specified in §1.97(b), but <i>before</i> the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes |
|----|--|
|    | prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).  |

### **§1.97(e) STATEMENT**

|  | person |  |  |
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| that each item of information contained in the information disclosure statement |
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| was first cited in the attached communication from a foreign patent office in a |
| counterpart foreign application and that the communication is dated not more    |
| than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).  |

OR

|      | that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). |
|------|--|
|      | OR FEE   |
|      | Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.   |
| 3. [ | The information disclosure statement transmitted herewith is being filed <i>after</i> the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).   |
|      | §1.97(e) STATEMENT   |
|      | I, the person signing below, state:  |
|      | that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).  |
|      | OR   |
|      | that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2). |
|      | AND FEE  |
|      | Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).  |
|      |  |

- 4. If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. A copy of this petition is enclosed.
- 5. A list of 3 reference(s) is in the enclosed Form PTO-1449.

#### NON-ENGLISH LANGUAGE REFERENCES

| $\boxtimes$ | Enclosed is a search report for a counterpart application. The search report Examiner |
|-------------|---|
|             | has provided comments on the relevancy of any non-English language references cited   |
|             | in the search report.   |

☐ The specification incorporates comments on the relevancy of Non-English language references.

☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references: N/A

Respectfully submitted, HOGAN & HARTSON L.L.P.

Date: July 13, 2004

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10/501380

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FORM PTO-1449 Express M

Docket Number (Optional) 88525.0009

Application Number Not Assigned

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Yoji UTSUMI and Masahiro ITO

(Use several sheets if necessary)

Filing Date July 13, 2004

**Applicant** 

Group Art Unit Not Assigned

|                     |                 | U.S. PATENT       | DOCUMENTS                             |               |          |            |          |
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| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE              | NAME                                  | CLASS         | SUBCLASS | FILING DAT |          |
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|                     | DOCUMENT NUMBER | DATE              | COUNTRY                               | CLASS         | SUBCLASS | YES        | NO       |
|                     | 3-13449         | 2/12/1991         | Japan                                 |               |          | _          |          |
|                     | 57-193745       | 11/29/1982        | Japan                                 |               |          |            |          |
|                     | 08-021299       | 1/23/1996         | Japan                                 |               |          | Abstract   |          |
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| ·· ·                | OTHER DOCUME    | NTS (Including Au | thor, Title, Date, Pe                 | rtinent Pages | , Etc.)  |            |          |
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**DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER**